

Revision Paper (2018-19)

Class-B.Com-III

Subject: Financial Market Operations

Paper- BC-305 (i)

Time: 3 Hours

Maximum Marks: 80

Note: Attempt five questions in all, selecting at least one question from each unit but not more than two questions from any unit. All questions carry equal marks.

UNIT-I

1. "Financial market and financial institutions play an important role in financial system". Do you agree? Explain.
2. Define money market. What are the characteristics of money market? Also give the reforms undertaken by government to strengthen the Indian money market.
3. Explain the features and objectives of NSE. Also explain the organization of NSE.
4. What is meant by Stock Exchange? What are the functions of stock exchange? Also give the listing procedure.

UNIT-II

5. Discuss the main provisions of Security Contracts Regulation Act, 1956.
6. What is SEBI? What are its objectives and functions? Give its guidelines for the public issue of share.
7. What is investor's protection? Write a detailed note on the redressal of the grievances of investors.

UNIT-III

8. Write notes on the following:-
 - (a) Brokers
 - (b) Institutional Investors
9. What is merchant banking? Discuss in detail the various functions of Merchant Banking. Also explain the role played by merchant bankers in India.
10. What is Credit Rating? What are its functions and types?