

Revision Paper (2015-16)  
Class-B.Com-III  
Sub: Financial Market Operations  
Paper- V: Opt) (i)  
Time: 3 Hours

Maximum Marks: 80

Note: Attempt five questions in all, selecting at least one question from each unit but not more than two questions from any unit. All questions carry equal marks.

#### Unit-I

1. "Financial market and financial institutions play an important role in financial system". Do you agree? Explain.
2. Describe briefly the various types of instruments that are dealt in money market.
3. Explain the features and objectives of NSE. Also explain the settlement procedure on NSE.
4. What do you mean by New Issue Market? What is its nature? How does it differ from secondary market?

#### UNIT-II

5. Discuss the main provisions of Security Contract Regulations Act.
6. What is SEBI? What are its objectives and functions? Give its guidelines for the public issue of share.
7. What is investor's protection? Write a detailed note on the redressal of the grievances of investors.

#### UNIT-III

8. Write notes on the following:-
  - (a) Brokers
  - (b) Institutional Investors
9. What is merchant banking? Discuss in detail the various functions of Merchant Banking.
10. What is Credit Rating? What are its functions and types?